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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/718,086	11/19/2003	Reade Clemens	085, 10546A-US (01-465A)	9418
34704	7590	05/25/2010	EXAMINER	
BACHMAN & LAPOINTE, P.C. 900 CHAPEL STREET SUITE 1201 NEW HAVEN, CT 06510			NGUYEN, PHONG H	
ART UNIT	PAPER NUMBER			
3724				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/718,086	<b>Applicant(s)</b> CLEMENS, READE
	<b>Examiner</b> PHONG H. NGUYEN	<b>Art Unit</b> 3724

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If no period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED. (35 U.S.C. § 133).

Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### **Status**

1) Responsive to communication(s) filed on 12 February 2010.

2a) This action is FINAL.      2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### **Disposition of Claims**

4) Claim(s) 1-14 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 1-14 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### **Application Papers**

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on 02 December 2005 is/are: a) accepted or b) objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### **Priority under 35 U.S.C. § 119**

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All    b) Some \* c) None of:

1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### **Attachment(s)**

1) Notice of References Cited (PTO-892)

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO-1449 or PTO-1566)  
Paper No(s)/Mail Date \_\_\_\_\_

4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_

5) Notice of Informal Patent Application (PTC-152)

6) Other: \_\_\_\_\_

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.
2. Claims 1-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Broschke (2,663,185), in view of Batsch et al. (3,781,020), hereinafter Batsch, Kobayashi et al. (5,785,039), hereinafter Kobayashi, Applicant's admitted prior art (the Declaration of Mr. Dilip Shah filed on 02/09/2009), hereinafter AAPA, and Ziegel (4,560,853).

Regarding claims 1 and 13, Broschke teaches an indenting tool comprising a shank 10 and a diamond tip 11. See Fig. 5.

Broschke does not teach the diamond tip being mounted to the tip end within 8 degrees of a <17, 12, 24> direction.

According to the Mr. Shah's declaration (see Fig. 1), the <17, 12, 24> direction is inherent in a diamond stone.

Batsch and Kobayashi teach that it is well known to one skilled in the art to find directions in a diamond that has high and low wear resistant properties so that the diamond can be shaped accordingly.

Since the <17, 12, 24> direction is inherent in a diamond, it would have been obvious to one skilled in the art at the time the invention was made to do repeated

experiments as taught by Batsch and Kobayashi to find the claimed <17, 12, 24> direction and shape the diamond tip accordingly so that the diamond tip has a high wear resistant property.

Broschke does not teach how the diamond tip is secured to the shank.

Ziegel teaches a diamond tip being secured to a shank by a braze material.

Therefore, it would have been obvious to one skilled in the art at the time the invention was made to secure the diamond tip of Broschke to its shank by a braze material as taught by Ziegel.

Regarding claim 2, it is well known in the art that the shank 10 is made of steel.

Regarding claims 3 and 4, a head of the shank is best seen in Fig. 5.

Regarding claims 5 and 6, Batsch and Kobayashi teach the use of single crystal diamond stone.

Regarding claim 7, Broschke teaches the invention substantially as claimed but is silent on whether the diamond is synthetic or natural. However, choosing a synthetic diamond or a natural diamond to manufacture a diamond tip is not patentably distinct over the prior art since it involves cost analysis, the availability of natural diamond and synthetic diamond and market demand.

Regarding claim 8, see Fig. 1.

Regarding claim 9, Broschke teaches the invention substantially as claimed except for the conical point forming a 90 degree angle.

At the time the invention was made, it would have been an obvious matter of design choice to one skilled in the art to provide a 90 degree angle conical point to the

indenter because the Applicant has not disclosed that such particular angle of the conical point provides an advantage, is used for a particular purpose, or solves a stated problem. One of ordinary skill in the art, furthermore, would have expected Applicant's invention to perform equally well with the 120 degree angle conical point of Broschke because both conical points can make an indent on a surface.

Therefore, it would have been an obvious matter of design choice to modify the conical point of Broschke by providing a 90 degree angle conical point to the indenter to obtain the invention as specified in claim 9.

Regarding claim 10, a 120 degree included angle conical point is best seen in Fig. 5.

Regarding claim 11, Broschke teaches the invention substantially as claimed except for the diamond being secured to the shank by a brazing method.

Ziegel teaches securing a diamond tip to a shank by using a brazing method to provide a strong bond between the diamond and the shank.

Therefore, it would have been obvious to one skilled in the art at the time the invention was made to secure the diamond tip of Broschke to the shank by using a brazing method as taught by Ziegel to create a strong bond between the diamond and the shank.

Regarding claim 12, see Fig. 5.

Regarding claim 14, Broschke teaches a method capable of making an indenting tool comprising the steps of:

-providing a shank 10 having an end;

- providing a diamond 11;
- positioning the diamond in a wear resistant position; and
- securing the diamond to the end of the shank.

See Fig. 5.

Broschke does not teach the diamond being mounted to the tip end within 8 degrees of a <17, 12, 24> direction.

According to the Mr. Shah's declaration (see Fig. 1), the <17, 12, 24> direction is inherent in a diamond stone.

Batsch and Kobayashi teach that it is well known to one skilled in the art to find directions in a diamond that has high and low wear resistant properties so that the diamond can be shaped accordingly.

Since the <17, 12, 24> direction is inherent in a diamond, it would have been obvious to one skilled in the art at the time the invention was made to do repeated experiments as taught by Batsch and Kobayashi to find the claimed <17, 12, 24> direction and shape the diamond tip accordingly so that the diamond tip has a high wear resistant property.

#### *Response to Arguments*

3. Applicant's arguments filed 02/12/2010 have been fully considered but they are not persuasive.

The Applicant argues that it would not have been obvious to one skilled in the art to combine Broschke, Batsch, Kobayashi, AAPA, and Ziegel to obtain the claimed

invention. The prior art fails to provide the requisite reasonable expectation of success.

This argument is not persuasive. The main argument is whether it would have been obvious to one skilled in the art to find the claimed <17, 12, 24> direction. AAPA teaches that <12, 12, 24> direction is inherent in a diamond. Batsch and Kobayashi teach that it is well known to one skilled in the art to find directions in a diamond that have high and low wear resistant properties so that the diamond can be shaped accordingly. Therefore, there is a reasonable expectation of success in finding desired directions which include the <17, 12, 24> direction. The Applicant is reminded that it has been held that something which is old does not become patentable upon the discovery of a new property. MPEP 2112 section I. In this case, the <17, 12, 24> direction is a new found property of the diamond and it is not patentable under existing case law.

The Applicant argues that Broschke, Batsch and Kobayashi are non-analogous art. This argument is not persuasive. In response to applicant's argument that Broschke, Batsch, Kobayashi are nonanalogous art, it has been held that a prior art reference must either be in the field of applicant's endeavor or, if not, then be reasonably pertinent to the particular problem with which the applicant was concerned, in order to be relied upon as a basis for rejection of the claimed invention. See *In re Oetiker*, 977 F.2d 1443, 24 USPQ2d 1443 (Fed. Cir. 1992). In this case, Broschke, Batsch and Kobayashi are pertinent to the particular problem with which the Applicant was concerned which are the indenting tool and shaping a diamond at certain planes to increase the structure strength of the diamond. Broschke teaches a diamond indenting tool and Batsch and Kobayashi

teach shaping a diamond at certain planes to increase the structural strength of the diamond.

The Applicant argues that Broschke does not teach affixing the diamond to the tip end by a braze material. This argument is not persuasive. The limitation of a diamond tip being secured to a shank by a braze material is taught by Ziegel but not by Broschke.

The Applicant argues that Broschke does not teach the orientation of the diamond. This argument is not persuasive. The orientation of the diamond is taught by AAPA, Batsch and Kobayashi.

The Applicant argues that AAPA is not prior art. This argument is not persuasive. AAPA states that <17, 12, 24> direction is inherent in a diamond. Therefore, <17, 12, 24> direction exists long before the Applicant discovers it. Accordingly, it is prior art.

The Applicant argues that Batsch and Kobayashi do not provide any reasonable expectation of success. This argument is not persuasive. Batsch and Kobayashi teach that it is well known to one skilled in the art to find directions in a diamond that have high and low wear resistant properties so that the diamond can be shaped accordingly. Therefore, it would have been obvious to one skilled in the art to do repeated experiments to obtain the claimed direction. The Applicant merely discovered a new property of a diamond but not creating a new direction of a diamond. It has been held that something which is old does not become patentable upon the discovery of a new property. MPEP 2112 section I.

***Conclusion***

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to PHONG H. NGUYEN whose telephone number is (571)272-4510. The examiner can normally be reached on Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Boyer Ashley can be reached on 571-272-4502. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you

have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Timothy V. Eley/  
Primary Examiner, Art Unit 3724

/Phong H Nguyen/  
Examiner, Art Unit 3724  
May 22, 2010